

Governance, Audit and Risk Management Committee **AGENDA**

DATE: Wednesday 2 April 2014

TIME: 7.30 pm

VENUE: Committee Room 5,
Harrow Civic Centre

MEMBERSHIP (Quorum 3)

Chairman: Councillor Richard Romain

Councillors:

Amir Moshenson
Chris Mote

Kairul Kareema Marikar
Varsha Parmar
Sachin Shah (VC)

Mano Dharmarajah

Reserve Members:

1. Tony Ferrari
2. Kam Chana
3. Anthony Seymour

1. Graham Henson
2. Sue Anderson
3. Ajay Maru

1. Thaya Idaikkadar

Contact: Una Sullivan, Democratic & Electoral Services Officer
Tel: 020 8424 1785 E-mail: una.sullivan@harrow.gov.uk

AGENDA - PART I

1. ATTENDANCE BY RESERVE MEMBERS

To note the attendance at this meeting of any duly appointed Reserve Members.

Reserve Members may attend meetings:-

- (i) to take the place of an ordinary Member for whom they are a reserve;
- (ii) where the ordinary Member will be absent for the whole of the meeting; and
- (iii) the meeting notes at the start of the meeting at the item 'Reserves' that the Reserve Member is or will be attending as a reserve;
- (iv) if a Reserve Member whose intention to attend has been noted arrives after the commencement of the meeting, then that Reserve Member can only act as a Member from the start of the next item of business on the agenda after his/her arrival.

2. DECLARATIONS OF INTEREST

To receive declarations of disclosable pecuniary or non pecuniary interests, arising from business to be transacted at this meeting, from:

- (a) all Members of the Committee;
- (b) all other Members present.

3. MINUTES (Pages 1 - 6)

That the minutes of the meeting held on 19 December 2013 be taken as read and signed as a correct record.

4. PUBLIC QUESTIONS *

To receive any public questions received in accordance with Committee Procedure Rule 17 (Part 4B of the Constitution).

Questions will be asked in the order notice of them was received and there be a time limit of 15 minutes.

[The deadline for receipt of public questions is 3.00 pm, 28 March 2014. Questions should be sent to publicquestions@harrow.gov.uk

No person may submit more than one question].

5. PETITIONS

To receive petitions (if any) submitted by members of the public/Councillors under the provisions of Committee Procedure Rule 15 (Part 4B of the Constitution).

6. DEPUTATIONS

To receive deputations (if any) under the provisions of Committee Procedure Rule 16 (Part 4B) of the Constitution.

7. REFERENCES FROM COUNCIL AND OTHER COMMITTEES/PANELS

To receive references from Council and any other Committees or Panels (if any).

- (a) Reference from Cabinet Meeting - 13 February 2014 - Treasury Management Strategy Statement, Prudential Indicators, Minimum Revenue Provision Policy Statement and Annual Investment Strategy for 2014/15 (Pages 7 - 44)
- (b) Reference from Cabinet - 13 February 2014 - Final Revenue Budget 2014/15 and Medium Term Financial Strategy (MTFS) 2014/15 to 2016/17 (Pages 45 - 74)

8. INFORMATION REPORT - AUDIT PLAN 2013-14 (Pages 75 - 140)

Report of the Director of Finance and Assurance

9. INFORMATION REPORT - CORPORATE ANTI-FRAUD TEAM DRAFT FRAUD SERVICE PLAN 2014-15 (Pages 141 - 220)

Report of the Director of Finance and Assurance

10. INFORMATION REPORT - RISK BASED VERIFICATION (Pages 221 - 254)

Report of the Head of Collection and Benefits

11. DRAFT INTERNAL AUDIT PLAN 2014-15 (Pages 255 - 268)

Report of the Director of Finance and Assurance

12. INFORMATION REPORT - GOVERNANCE UPDATE (Pages 269 - 280)

Report of the Director of Finance and Assurance

13. ANY OTHER URGENT BUSINESS

Which cannot otherwise be dealt with.

14. EXCLUSION OF THE PRESS AND PUBLIC

To resolve that the press and public be excluded from the meeting for the following item of business, on the grounds that it involves the likely disclosure of confidential information in breach of an obligation of confidence, or of exempt information as defined in Part I of Schedule 12A to the Local Government Act 1972:

<u>Agenda Item No</u>	<u>Title</u>	<u>Description of Exempt Information</u>
15.	Corporate Risk Register	Information under paragraph 3 (contains information relating to the financial or business affairs of any particular person, including the Authority holding that information).
10.	Information Report – Risk Based verification – Appendix 1	Information under Paragraph 7 (contains information relating to any action taken or to be taken in connection with the prevention, investigation or prosecution of crime).

AGENDA - PART II

15. CORPORATE RISK REGISTER (Pages 281 - 308)

Report of the Director of Finance and Assurance

16. ITEM 10 - APPENDIX A (Pages 309 - 316)

Report of the Head of Collection and Benefits

Appendix 1 to the report – ‘Risk Based Verification’

* DATA PROTECTION ACT NOTICE

The Council will audio record item 4 (Public Questions) and will place the audio recording on the Council's website, which will be accessible to all.

[Note: The questions and answers will not be reproduced in the minutes.]